Designing and optimizing a back propagation neural network to model a thin-layer drying process

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A b s t r a c t. In the present study, the application of a back propagation) neural network for the prediction of moisture content of barberry fruit (berberis vulgaris) during drying was investigated. The important parameters, namely, pretreatment (no pretreatment, heat shocking, olive oil + K_2CO_3), air drying temperature (60, 70 and 80°C), air drying velocity $(0.3, 0.5 \text{ and } 1 \text{ m s}^{-1})$ and time (s) were considered as the input parameters, and moisture content as the output of the artificial neural network. Experimental data obtained from a thin-layer drying process were used for training and testing the network. Several criteria such as training algorithm, learning rate, momentum coefficient, number of hidden layers, number of neurons in each hidden layer, and activation function were given to improve the performance of the artificial neural network. The best training algorithm was Levenberg-Marquard with the least mean square error value. Optimum values of learning rate and momentum for the artificial neural network with gradient descent momentum training algorithm were set at 0.5 and 0.7, respectively. The optimal topologies were 4-20-1 and 4-25-5-1 with mean square error values of 0.00318 and 0.001 with logsig activation functions. Also, with tansig activation function, the optimal topologies were 4-20-1 and 4-15-15-1 with the mean square error values of 0.00293 and 0.00130. There was no significant difference between the two activation functions in optimal topologies. There was good correlation between the predicted and experimental values in optimal models.

K e y w o r d s: thin-layer, artificial neural network, moisture content, training algorithm

INTRODUCTION

Barberry fruit (*Berberis vulgaris*) is known as a medicinal and ornamental plant in the world (Aghbashlo *et al.*, 2008). Medicinal use of barberry dates back more than 2 500 years, and it has been used in Indian folk medicine to treat diarrhoea, reduce fever, improve appetite, relieve upset sto-

mach, and promote vigour as well as sense of well-being. Iran is the largest producer of barberry in the world (Fathollahzadeh et al., 2008). Dehydration of agricultural products in the tray dryer involves a high energy consumption mainly because the operation control and maintenance are made heuristically. Too high moisture content leads to microorganism growth and low quality, while too low moisture content may lead to excessive energy consumption (Lertworasirikul and Tipsuwan, 2008). To achieve an increase in the process efficiency and to reduce the energy consumption, the process parameters have to be optimized (Aghbashlo et al., 2009; Akpinar et al., 2003; Aviara et al., 2010). A convenient way of getting to this target is to numerically simulate the total system behaviour and to predict the main parameter evolution for different operational conditions (Margaris and Ghiaus, 2006). The main obstacle for extensive analysis of the dehydration process by numerical simulation is the lack of knowledge on the thermophysical properties of most of the agricultural products. On the other hand, the results from numerical simulation have to be validated by means of experimental investigation. Mathematical correlations usually give the most accurate results only in specific experiments and they are not valid in other conditions (Movagharnejad et al., 2007). Besides, mathematical models simulate the drying process based on some assumptions while neglecting the effect of some interdependent variables, which results in decreased prediction capability (Tripathy and Kumar, 2009). Neural networks have many attractive properties for the modelling of complex process. Several studies demonstrated the significance and usefulness of the artificial neural network (ANN) in modelling the drying process (Behroozi

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Khazaee, 2003; Farkas *et al.*, 2000a, 2000b; Sablani and Rahman, 2003; Satish and Pedy Setty, 2005), food temperature prediction during solar drying (Tripathy and Kumar, 2009), predicting moisture content of agricul- tural products (Topuz, 2009), moisture content and water activity prediction of semi-finished cassava crackers (Lertworasirikul and Tipsuwan, 2008), moisture content modelling of thin-layer corn during the drying process (Trela *et al.*, 1997), prediction of physical property changes of carrot during drying (Kerdpiboon *et al.*, 2006). Also, a neural network has been used to model an industrial drying process and thin-layer drying kinetics (Assidjo *et al.*, 2008; Khazaei and Daneshmandi, 2007) and for the modelling of tomato drying (Movagharnejad *et al.*, 2007).

The aim of this study was to verify that an ANN model can be used to predict the moisture content of barberry fruit during the drying process under different drying conditions. In addition, a method to obtain representative learning data and several criteria to provide an optimal training of the ANN are proposed. The paper is organized as follows:

- 1. the experimental procedures are described,
- 2. the ANN was first trained using a database of data sets obtained experimentally,
- 3. was the optimization of parameters, establishing some criteria to optimize the training process and the learning database were nade (the optimization was made by considering the type of the learning algorithm, different values of momentum coefficient and learning rate, the number of hidden layers and the number of neurons in each of them, and the type of activation functions),
- the accuracy of the various proposed prediction models was tested trough the comparison of predicted values with experimental data using linear regression analysis.

MATERIALS AND METHODS

The moisture content of fresh berries was determined by drying in an oven at 105°C for 4 h until the mass did not change between two weighing intervals, performed in triplicate (Aghbashlo *et al.*, 2008). Chemicals used for dipping the samples were of technical grade. To expedite drying by breaking the waxy layer of barberry skin, thermal shocking of the berries was carried out by immersing them in hot water, followed by cooling with cold water. To increase the water permeability of the skin, the berries were also dipped in a suspension of commercial olive oil and K₂CO₃. The solution of the desired concentration of K₂CO₃ was prepared in distilled water and heated to 50°C, on a hot plate with magnetic stirring. Olive oil was then added to this solution which was kept under continuous agitation during dipping of berries. The test treatments were as follows:

- no pretreatment;
- E1: dipped in hot-water at 85°C for 60 s followed by rinsing with cold water at 10°C immediately;
- E2: dipped in emulsion of 3% olive oil and 6% K_2CO_3 at 50°C for 2 min.

The drying experiments were performed using a laboratory-scale cross-flow hot-air dryer available at the Agricultural Engineering Department of Tarbiat Modares University, Tehran, Iran. The dryer consisted of a tray, an air flow system, an air drying heating section and the main drying chamber. The dryer was equipped with an automatic temperature controller ($\pm 0.1^{\circ}$ C), an online weight data recorder using precision balance (0.01 g, A&D Model-Japan), a load cell and an online data logger employing a computer program that recorded the weight loss of berries at 2 min intervals. The experiments were carried out at hot air temperatures of 60, 70 and 80°C. At each drying temperature three velocity values were tested: 0.3, 0.5 and 1 m s⁻¹. For quantitative evaluation of the pretreatment effect, an experiment with untreated barberries was also included. To achieve a steadystate thermal condition, the dryer was set to work for about half an hour prior to the experiments. In each drying experiment, about 10 g barberries were placed on the tray of the drying chamber in a thin-layer formation. To ensure storage stability, the barberries were dried to a final moisture content below 18 % (w/w). The experiments were repeated three times and the average of the moisture ratio at each time point was used for drawing the drying curves. The moisture ratio (MR) was calculated using the following equation:

$$MR = \frac{M - M_e}{M_o - M_e} \tag{1}$$

where: M_o , M, and M_e are initial moisture, moisture at time (t), and equilibrium moisture content, respectively.

The ANN model development generally involves the use of experimental data for the training of the network, evaluation of various configurations leading to the selection of an optimal configuration, and its validation with a data set different from those used in the training. The back propagation (BP) algorithm was implemented using the Matlab R2009a software. In this study, the available data set was partitioned into three parts, 70% for training, 20% for test and 10% corresponding to the validation of the model (Erenturk and Erenturk, 2007). The network had three layers; input, hidden and output. The numbers of neurons in the input layer and the output layer were equal to the number of input and output parameters, respectively. The input layers had four neurons with the values of the pretreatment, drying air temperature (°C), drying air velocity (m s⁻¹) and time (s). The output layer had one neuron with the value of moisture content (Fig. 1). Using experimental data obtained in the thin-layer dryer, an optimized ANN model was developed to predict the outlet moisture content of the



Fig. 1. Scheme of the ANN used for predicting the moisture content.

barberry fruits. Each input unit of input layer receives input signal X_i and broadcasts this signal to all units in the hidden layer. Each hidden unit Y_j sums its weighted input signal and applies its activation function to compute an output signal as identified in the following function:

$$Y_j = f_{act} \left(\sum_{i=1}^{N} W_{ij} X_i + b_j \right), \tag{2}$$

where: W_{ij} is the weight of the connection from the *i*th input to the *j*th hidden unit, b_j is the weight of bias connection for *j*th hidden unit. The output signal of the hidden unit Y_j is sent to all units in the output layer. Each output unit O_k sums its weighted input signal and applies its activation function to compute its output signal as identified in Eq. (3):

$$O_k = f_{act} \left(\sum_{j=1}^{N} V_{jk} Y_j + b_k \right), \tag{3}$$

where: V_{jk} is the weight of the connection from the *j*th hidden unit to the *k*th output unit. The parameter of bias (b) in Eqs (2) and (3), also called the threshold value, is permanently set to 1 in the hidden layer as well as in the output layer, so that corresponding weight shifts the activation function along the *x* axis. The activation functions used in this study were tangent sigmoid and logistic sigmoid that are defined respectively as (Demuth and Beale, 2003):

$$f_{act}(x) = \frac{1}{1 + \exp(x)},\tag{4}$$

$$f_{act}(x) = \frac{2}{(1 + \exp(-2x)) - 1}.$$
 (5)

These two activation functions were utilized in the hidden layers and the linear activation function was practiced the in output layer. The BP training algorithm is an iterative gradient descent algorithm, designed to minimize the mean of square error (MSE) and mean absolute error (MAE) which are averaged over all patterns and are calculated as follows:

$$MSE = \frac{\sum_{p=1}^{M} \sum_{i=1}^{N} \left(S_{ip} - T_{ip} \right)^{2}}{n_{p} n_{0}},$$
 (6)

$$MAE = \frac{1}{n} \sum_{i=1}^{N} \left| S_{ip} - T_{ip} \right|,$$
(7)

where: S_{ip} is the desired or actual output, T_{ip} is the predicted output for the pattern, n_0 is the number of neurons in the output layer, and n_p is the number of patterns.

During training, an ANN is the presented with the data for thousands of times, which is referred to as epochs. After each epoch the error between the ANN output and the desired values is propagated backward to adjust the weight in a manner mathematically guarantied to converge. Adjustment of the weights ΔW_{ii} can be calculated as (Topuz, 2009):

$$\Delta Wij = -\alpha \frac{\partial E}{\partial W_{ij}} + \beta \Delta W_{ij} \left(s - 1 \right), \tag{8}$$

where: α is the learning rate, β is the momentum coefficient and s is the current step. Training is the act of continuously adjusting the connection weights until they reach unique values that allow the network to produce outputs that are close enough to actual desired outputs. The accuracy of the developed model, therefore, depends on these weights. Once optimum weights are reached, the weights and biased values encode the network state of knowledge (Topuz, 2009).

Using experimental data obtained in the thin-layer dryer, an optimized ANN model was developed to predict the outlet moisture content of the barberry fruit. The basic back propagation algorithm adjusts the weights in the steepest descent direction (negative of the gradient) (Dayhoff, 1990).

One of the most important tasks in ANN model development is to find the optimal network architecture. This network architecture is to be selected out of several network configurations. Comprising the combination of various model parameters, namely, the value of momentum coefficient and learning rate, the number of hidden layers, the number of neurons in hidden layers, different activation functions and the training algorithm. A list of different training algorithms is summarised in Table 1.

RESULTS AND DISCUSSION

Initially the performance of the ANN was assessed with different training algorithms. In order to obtain the best results, each of the model parameters was varied, keeping the other parameters constant to study the influence of variable parameters. The ANN with randomly chosen tansig activation function, one hidden layer and 20 neurons in the hidden layer, was trained and the best training algorithm that can make the ANN model most effective in the simulation of experimental data was selected. The results of the error analysis showing the influence of different training algorithms on prediction of the moisture content are presented in Fig. 2. Among the different training algorithms the LM provided the best results. CGF and OSS were also satisfactory.

In order to acquire the optimum performance of a neural network, the rate of error convergence was checked by adjusting the learning rate and momentum coefficient. The learning rate and momentum coefficient values affect the ANN performance significantly (Khazaei and Daneshmandi, 2007). The number of neurons in the hidden layer was 20. In this optimization, GDM algorithm was exploited, that is due to α and β in this algorithm are constant during

T a ble 1. List of back propagation training algorithm

Algorithm

Acronym

training. On the other hand, these parameters in this training algorithm are time independent. In order to illustrate how the ANN was optimized, some of the results obtained during training are given in Table 2. The greater the learning rate, the more the weight values were changed. Too low a learning rate made the network learn very slowly. Too high a learning rate made the weights and objective function diverge. From these results, the learning rate of 0.5 led to the best convergence and the lowest model error. Similar results were reached with the momentum coefficient of 0.7. As can be shown, a small learning rate and large momentum were desirable. Momentum allows a network to respond not only to the local gradient, but also to recent trends in the error surface. Totally, the optimal values of these parameters should be reached by a trial and error method. The networks were trained up to epochs where the level of error was

T a b l e 2. Results of the ANN training with GDM training algorithm and different values of α and β

GD	Gradient Descent				
GDM	Gradient Descent Momentum	Learning rate	coefficient	MAE	
GDX	Variable Learning Rate Backpropagation	α	β		
GDA	Variable Learning Rate Backpropagation	0.2	0.4	0.276	
LM	Levenberg- Marquardt	0.2	0.4	0.215	
RP	Resilient Backpropagation	0.2	0.4	0.541	
CGF	Conjugate Gradient	0.5	0.7	0.394	
BFG	BFGS Quasi- Newton	0.5	0.7	0.257	
OSS	One- Step Secant	0.5	0.7	0.682	
CGB	Conjugate Gradient with Powell/Beale Restarts	0.8	0.5	0.457	
SCG	Scaled Conjugate Gradient	0.8	0.5	0.282	
CGP	Polak-Ribiére Conjugate Gradient	0.8	0.5	0.597	



Fig. 2. Results of the ANN model considering different training algorithms.

satisfactory and further cycles had no significant effect on its reduction. In order to obtain the optimum number of hidden layers and the number of neurons in each of them, at first the ANN model was trained with one hidden layer and varying number of neurons in this layer with logsig activation function and LM training algorithm. Then the ANN was trained with two hidden layers and different number of neurons in each layer with the same training algorithm and activation function. The minimum and maximum number of neurons in hidden layers was 5 and 20, respectively, starting with 5 neurons and then increasing the network size by adding 5 neurons each time. The best topologies were 4-20-1

T a ble 3. Results of the ANN training with LM training algorithm and: logsig and tansig activation functions

	Number							
Number hidden layers	1st hidden layer	2nd hidden layer	MSE					
Logsig activation function								
1	5	-	0.06380					
1	10	-	0.00492					
1	15	-	0.00422					
1	20	-	0.00318					
1	25	-	0.00385					
1	5	5	0.02850					
1	10	5	0.00401					
2	15	5	0.01090					
2	20	5	0.00246					
2	25	5	0.00173					
2	30	5	0.00874					
2	25	5	0.00100					
2	25	15	0.00147					
Tansig activation function								
1	5	-	0.00833					
1	10	-	0.00545					
1	15	-	0.00486					
1	20	-	0.00293					
1	25	-	0.00346					
2	5	5	0.01050					
2	10	5	0.00395					
2	15	5	0.00273					
2	20	5	0.00313					
2	10	10	0.00317					
2	15	10	0.00174					
2	15	15	0.00130					
2	15	20	0.00248					

and 4-25-5-1 with MSE values of 0.00318 and 0.001, respectively. The results are shown in Table 3. In the ANN architecture with one hidden layer, the error with 5 neurons was high; by increasing the number of the neurons this error reduced, but when the number of neurons was 25 the error increased again. Using too few neurons in the hidden layers will result in something called under-fitting. Under-fitting occurs when there are too few neurons in the hidden layers to adequately detect the signals in a complicated data set. Too many neurons in the hidden layers may result in over-fitting. Over-fitting occurs when the neural network has so much information processing capacity that the limited amount of information contained in the training set is not enough to train all of the neurons in the hidden layers. When the network begins to over-fit the data, the error in validation set will typically begin to increase (Assidjo et al., 2008). The ANN with two hidden layers was trained and the error was reduced in respect of one hidden layer architecture. These networks, named shallow networks (Amiri Chayjan, 2006), had better performance. Obviously, some compromise must be reached between too many and too few neurons in the hidden layers. In order to assess the performance of the network with two different activation functions, the previous experiments were done with tansig activation function again and the results were comprised (Fig. 3). The best topologies with this activation function were 4-20-1 and 4-15-15-1 with the MSE values of 0.00293 and 0.00130, respectively (Table 3). Comparison between the two activation functions indicates that except the topologies of 4-5-1, 4-5-15-1, 4-5-5-1 and 4-10-15-1, in other topologies the influence of the transfer functions on the capability of the ANN model made no significant difference. Finally, the prediction capability of proposed models was further assessed on the basis of regression line characterized by its correlation coefficients (R), between predicted and experimental values for test and validation data sets. The results are presented in Table 4. As can be seen, the results predicted by those topologies that have the least error were found to be slightly closer to experimental data.



Fig. 3. Performance of the ANN with two different activation functions.

Logsig activation function			Tansig activation function		
Topology	R (Validation)	R (Test)	Topology	R (Validation)	R (Test)
4-5-1	0.894	0.875	4-5-1	0.988	0.981
4-10-1	0.993	0.993	4-10-1	0.987	0.988
4-15-1	0.994	0.990	4-15-1	0.992	0.993
4-20-1	0.993	0.995	4-20-1	0.994	0.993
4-25-1	0.989	0.989	4-25-1	0.994	0.991
4-5-5-1	0.948	0.956	4-5-5-1	0.983	0.981
4-10-5-1	0.992	0.992	4-10-5-1	0.994	0.996
4-15-5-1	0.981	0.985	4-15-5-1	0.995	0.997
4-20-5-1	0.997	0.998	4-20-5-1	0.993	0.990
4-25-5-1	0.997	0.997	4-10-10-1	0.991	0.994
4-30-5-1	0.982	0.981	4-10-15-1	0.996	0.995
4-25-10-1	0.996	0.998	4-15-15-1	0.995	0.996
4-25-15-1	0.997	0.997	4-20-15-1	0.994	0.995

T a ble 4. Results of post-processing with logsig and tansig activation functions

CONCLUSIONS

1. The ANN model was successful in predicting the moisture content of barberry during thin-layer drying under different drying conditions.

2. The best training algorithm with the least MSE value in this problem was LM.

3. In training with GDM algorithm, the optimum values of learning rate and momentum coefficient with the minimum error were 0.5 and 0.7, respectively.

4. The optimum models from all the data sets with the logsig activation function were 4-20-1 and 4-25-5-1 with MSE values of 0.00318 and 0.001, respectively, and the optimum models with the tansig activation function were 4-20-1 and 4-15-15-1 with the MSE values of 0.00293 and 0.00130, respectively. The results show that in optimum topologies there was no significant difference between the performance of the ANN with tansig and logsig activation functions.

5. The optimal models can predict the moisture content with high values of R.

6. The application of artificial neural networks can be successful for estimating the on-line states and for controlling the drying process in industrial operations.

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